# Systematic meta-analysis of computer-aided detection to detect early esophageal cancer using hyperspectral imaging

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**Abstract:** One of the leading causes of cancer deaths is esophageal cancer (EC) because identifying it in early stage is challenging. Computer-aided diagnosis (CAD) could detect the early stages of EC have been developed in recent years. Therefore, in this study, complete meta-analysis of selected studies that only uses hyperspectral imaging to detect EC is evaluated in terms of their diagnostic test accuracy (DTA). Eight studies are chosen based on the Quadas-2 tool results for systematic DTA analysis, and each of the methods developed in these studies is classified based on the nationality of the data, artificial intelligence, the type of image, the type of cancer detected, and the year of publishing. Deeks' funnel plot, forest plot, and accuracy charts were made. The methods studied in these articles show the automatic diagnosis of EC has a high accuracy, but external validation, which is a prerequisite for real-time clinical applications, is lacking.

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### 1. Introduction

The anticipated projection of cancer to surpass all other noncommunicable diseases as the foremost cause of mortality worldwide is escalating [1]. Esophageal cancer (EC) is the eighth most prevalent cancer globally among the 200 types of cancer. It is associated with a bleak prognosis, rendering it one of the primary contributors to cancer-related mortality [2]. Esophageal squamous cell carcinoma (ESCC) is the predominant form of esophageal cancer (EC), while esophageal carcinoma has been the most frequently occurring type of EC over the last four decades [3]. Esophageal squamous cell carcinoma (ESCC) is predominantly prevalent in developing nations, particularly in Africa and Asia, where squamous cells are more prevalent. In Japan,

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approximately 90% of esophageal cancer cases are attributed to ESCC [4]. The act of smoking is a prevalent risk factor associated with the development of ESSC, as documented in literature [5]. Moreover, the consumption of alcohol represents the most potent risk factor for esophageal squamous cell carcinoma (ESCC) among individuals diagnosed with squamous cell carcinoma of the neck and head [6]. In contrast, developed nations such as those in America and Europe exhibit a higher prevalence of esophageal adenocarcinoma (EAC). Several risk factors associated with it include smoking, obesity, and gastroesophageal reflux disease [7]. Moreover, EAC is a form of EC that exhibits a higher prevalence in males as compared to females. Over the recent years, there has been a significant increase in the incidence of fatalities associated with esophageal complications, with an estimated annual death toll of over 400,000 [8]. According to research, the five-year survival rate for EC is between 15% and 20%. However, if the cancer is detected in its early stages, the survival rate can increase significantly to 80%. This information is supported by previous studies [9]. Odynophagia and dysphagia are typically identified during the latter stages of the disease, resulting in the diagnosis of esophageal cancer being predominantly made during its advanced stages [10].

The development of technologies for early and efficient detection of EC is a top priority for researchers across various disciplines, given the concerning mortality rate associated with this condition. Such advancements are expected to significantly improve the survival rate of EC [11]. Numerous scholars are presently engaged in the development of computer-aided diagnosis (CAD) models for the early detection of cancer [12–43]. The utilization of CAD models has made a remarkable contribution towards aiding endoscopists in the detection of EC [44]. Wang et al. conducted a study wherein they devised a deep convolutional neural network (CNN) that was integrated with a single-shot multibox detector (SSD) to detect early EC by utilizing the outcomes of narrow-band imaging (NBI) and white light imaging (WLI) [10]. In their research, Li and colleagues investigated the feasibility of utilizing serum-enhanced Raman spectroscopy with silver nanoparticles (Ag NPs) in conjunction with a support vector machine (SVM) to differentiate between patients with EC and those without cancer. The diagnostic accuracy achieved was 85.2% [45]. The utilization of semantic segmentation has been investigated as a means for the early detection and classification of esophageal cancer [15]. Biosensors have been demonstrated to be efficacious in the detection of cancer, as evidenced by prior research studies [46–69]. Furthermore, biosensors have been suggested as a cost-efficient means for the timely detection of cancer, in addition to CAD [70]. Tseng and colleagues have effectively fabricated a photoelectrochemical (PEC) biosensor featuring a p-n heterojunction, which incorporates a well-crystallized Cu2O/ZnO structure that endows the device with visible light photo response and electrical characteristics. The biosensor's configuration facilitates the identification of two distinct levels of cancerous esophageal cells, namely a normative cell type derived from a Caucasian male (OE21) and a highly invasive cancer cell variant prevalent among Caucasian males (OE21-1) [63]. Wu et al. conducted a study wherein they integrated a p-type Cu2O film with n-type ZnO nanorods to fabricate a photoelectrochemical biosensor. This biosensor was designed to detect human EC cells in the absence of external bias. The biosensor that was developed exhibited an enhancement in the photo current signal, thereby facilitating the detection of EC cells of a severe nature [67]. The analysis of EC cells using a microchip with dielectrophoretic impedance measurement for early treatment and diagnosis was observed in the study of Wang et al. Using the technology of this biosensor helpfully distinguished different stages of ESCC and provided consistent findings similar to those using hyperspectral imaging (HSI) technology [65].

Despite the capabilities of CAD in combination with RGB image processing and biosensors as methods of providing quality information in cancer detection, these approaches have some limitations. Traditional CAD requires processing a large amount of collective training data and computational power to obtain a better performance in machine learning as they use only three color channels (red, green and blue) [71]. The efficacy of CAD models in detecting cancer is

compromised due to a technological limitation observed in the recent CAD model for colonoscopy, which employed a restricted dataset of only 6,000 images for machine learning purposes [72]. The detection of tumor markers through biosensors is feasible owing to the optical, magnetic, and distinctive characteristics of nanomaterials, which enable the identification of biomarkers and tumor vasculature with a high degree of specificity. Nevertheless, the adaptability of these nanomaterials to the environment remains a significant obstacle [73,74]. One of the methods that could overcome all the challenges is the combination of HSI with CAD methodologies. The utilization of a wide spectrum of light ranging from UV to far-infrared for image capture, as opposed to the conventional RGB color model, has the potential to enhance cancer detection performance. This noninvasive approach provides more comprehensive information about the subject, as evidenced by research findings [75].

HSI follows the principle of optical sensing technology for imaging and spectroscopy [76]. It provides both spatial and spectral information about the subject in a noninvasive way [77]. A hyperspectral image is formed when a 2D spectral data of each pixel is detected, and the spatial and spectral information are obtained; therefore, the origin of each spectrum in the subject can be identified [78]. Compared with the naked eye with very limited capabilities in distinguishing objects in terms of the electromagnetic spectrum, HSI has the function to emanate spectral information larger than RGB data [79]. HSI has three common techniques, namely, push broom, filter based, and whisk broom, which results in a 3D hypercube that includes a spectral and two spatial axes [80]. Push broom provides data in high spectral and spatial resolution, but it can cause complexities during post processing because it records only the lines of spectral data per exposure [81]. Whisk broom records lateral and spectral information pixel by pixel, making it more time consuming than push broom [82]. The filter-based technique is wavelength-coded imaging that uses optical filters such as RGB narrowband filters to capture spectral data [83] [84]. Various improvements in HSI have been made in the past 30, and it effectively contributed to different fields not only limited to environment, medicine, astronomy, security, archaeology, agriculture, art conservation, military defense, and food quality [85–181]. HSI technology is beneficial in the field of medicine, especially in various cancer detection and diagnosis methods. Table 1 shows research studies of cancer detection diagnosis using HSI technology in recent years.

Table 1. Studies of HSI in other medical application including other Cancer Detection

Year	Author	Application	Spectral Range (nm)
2021 [182]	Winkeln et al.	Colonl Cancer	500-1000
2020 [183]	Liu <i>et al</i> .	Gastric Cancer Detection	900-1700
2020 [184]	Aboughaleb et al.	Breast Cancer Detection	420-620
2021 [185]	Courtenay et al.	Skin Cancer Detection	398–995
2022 [186]	Eggert et al.	Head and Neck Cancer Detection	390-680
2023 [43]	Wang et al.	Diabetic Retinopathy	380-780
2020 [183]	Liu et al.	Gastric cancer diagnosis	900-1700

Table 2 briefly describes the endoscopic machines available commercially that used CAD. FujiFilm, known for its converging technologies from analog photography, digital diagnostic systems, all the way through medical and healthcare technologies, developed its endoscopic machine that has a new artificial intelligence (AI) called CAD EYE, which uses WLI, bioluminescence imaging, and linked color imaging [187]. Yoshida et al. provided a study where CAD EYE AI was evaluated and produced impressive results helpful for recognizing lesions by CADe and optically diagnosing colorectal polyps by CADx with an accuracy of 87.6% [188]. CAD EYE

can also function as a real-time colorectal polyp detector [189]. GI Genius is a medical device trained for processing colonoscopic images (CADe) and displays suspicious lesion in real time using NBI with an accuracy of 99.7% [190]. Brand et al. suggested that the AI used in GI genius (CADe) has a great potential in real-time endoscopic detection [191]. Odin Vision has a current machine learning that aids the decision making of a device in real-time polyp detection that can influence the clinician's interpretation and diagnosis [192]. Odin Vision uses NBI endoscopic imaging. EVIS XI is a third-generation chromoendoscopic technology developed by Olympus to address the needs of endoscopists for better detection and diagnosis of lesions in real time using NBI, red dichromatic texture, and color enhancement endoscopic imaging [193]. Tang et al. used different endoscopes from Olympus including variations of EVIS to predict gastric cancer better, which resulted in a better diagnostic performance that helped endoscopists [187,194]. Pentax was also mentioned from another study by Milluzzo et al. that aimed to review several AIs responsible for colonoscopy; they found that Pentax Discovery has capabilities to support endoscopists in the real-time detection of polyps and lesions with an accuracy of 90% [195].

Table 2. Endoscopic machines using CAD from different companies

Criteria	Fuji Film	GI Genius	Odin Vision	Odin Vision Olympus	
Origin	Japan	USA	London	Japan	Japan
Description	Colonic poly detection and characterization; Movie Recording Function	Intelligent Endoscopy Module	Colonoscopy AI; AI for Esophagus	AI-powered endoscopy system	Polyp and lesion detection
CAD	CAD EYE EX-1	CADe	CADU	EVIS XI	Pentax Medical Discovery <sup>TM</sup>
Accuracy (%)	87.6	99.7	Not mentioned	Not mentioned	90
Purpose	Suspicious polyps detection	Colorectal polyps detection during colonoscopy	Assists doctors in esophagus and colorectal polyps' detection	Colorectal polyps, malignant neoplasm, adenoma detection	Polyps detection
Endoscopic Imaging	WLI; Bioluminescence imaging; Linked color imaging	NBI	NBI	NBI; Red dichromatic texture; Color enhancement	Not mentioned
Deep Learning	NN	CNN	CNN	CNN	DNN
Algorithm	Not mentioned	Not mentioned	VGG16, FetNet	Not mentioned	Not mentioned
Training Database	Fujifilm AI Technology, Tokyo	Italian Centers	University College London Hospital; Cardiff University Hospital-AI Polyp Detection	Germany, Europe	Not mentioned
Realtime	YES	YES	YES	YES	YES
Endoscopist Enrollment	YES	YES	YES	YES	Not mentioned

This study analyzes recent studies related to EC detection and diagnosis using HSI technology in combination with CAD methodology. It evaluates the diagnostic performances of CAD + HSI algorithms used for EC detection and diagnosis. The diagnostic performances included in the study are observed in terms of sensitivity, specificity, accuracy, and area under the curve (AUC). The review briefly explains the studies and presents recommendations according to the meta-analysis of different CAD + HSI methods involved.

## 2. Materials and methods

This section discusses the processes encountered in acquiring studies relevant to this review, specifically, studies related to EC detection and diagnosis using HSI technology. This section presents the inclusion and exclusion criteria in selecting appropriate studies.

# 2.1. Study selection criteria

The purpose of this review is to provide comprehension of the advances in EC detection and diagnosis using HSI that exhibits the strengths and weaknesses of this system in terms of EC detection. This review focuses on studies that meet the established inclusion criteria: (1) studies with definitive numerical results such as dataset, sensitivity, accuracy, precision, and AUC; (2) based on HSI dealing with EC detection; (3) published in the last six years; (4) publication journal has an H-index greater than 50 and is in the first quartile (Q1); (5) studies with prospective or retrospective design; and (6) studies written in English. Additionally, this review disregards studies that fall under the exclusion criteria: (1) studies with insufficient data; (2) studies under narrative, systematic review, and meta-analyses; (3) comments, proceedings, or study protocols; and (4) conference papers. The Quality Assessment of Diagnostic Accuracy Studies Version 2 (QUADAS-2) is introduced in this study by the two authors to assess the quality of the methodologies of the articles to be reviewed. QUADAS-2 contains bias assessment in selecting patients and during the index test. It also assesses the standard of reference and the risk bias in

Table 3. QUADAS-2 Summary

Study		RISK	OF BIAS	APPLICABILITY CONCERNS			
Study	PATIENT SELECTION	INDEX TEST	REFERENCE STANDARD	FLOW AND TIMING	PATIENT SELECTION	INDEX TEST	REFERENCE STANDARD
[197] Tsai et al. / 2021	<b>+</b>	<b>•</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
[198] Maktabi et al. / 2019	?	?	<b>•</b>	<b>+</b>	<b>+</b>	3	<b>•</b>
[199] Hohmann et al. / 2017	3	0	<b>•</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
[200] Nakano <i>et al.</i> / 2020	0	0	0	<b>+</b>	0	<b>+</b>	0
[201] Grigoroiu et al. / 2020	<b>+</b>	?	?	?	<b>+</b>	3	?
[202] Maktabi <i>et</i> <i>al.</i> / 2022	<b>+</b>	<b>+</b>	<b>•</b>	<b>(</b>	<b>+</b>	<b>+</b>	<b>•</b>
[203] Wu et al. / 2018	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>•</b>
[182] T. Collins <i>et al</i> . / 2021	<b>+</b>	<b>+</b>	<b>+</b>	<b>①</b>	<b>+</b>	<b>①</b>	•
(	Low Risk		High Risk		Unclear Ri	sk	

terms timing and flow as domains. The applicability assessment is also observed with the bias assessment the by two authors [196].

### 2.2. QUADAS-2 result

Table 3 summarizes the QUADAS-2 results of the eight studies included in this re-view. It contains the applicability concerns and the level of risk of bias of the studies. Each study was reviewed based on flow and timing, patient selection, reference standard, and index test for risk of bias as well as under patient selection, reference standard, and index test for applicability concerns.

### 3. Results

This section shows the results of the review including the clinical features observed and a brief explanation of each study. This section also contains the numerical results gathered from each study. This section also explains the comparisons of the results in terms of sensitivity, specificity, accuracy, and AUC. This section also incorporates the tabulated results and the summary receiver operating characteristic curve (SROC).

### 3.1. Clinical features observed in the studies

The studies selected for this article analysis examine the performance of various CAD methods for EC detection and diagnosis. Studies included in this review are explained briefly, highlighting their objectives, CAD algorithm used, and the results. Moreover, the accuracy, sensitivity, specificity, and AUC in detecting and classifying EC lesions and neoplasms from each article were recorded using subgrouping and meta-analysis. These indices were assessed and compared from different CAD methods used in the articles.

Tsai et al. used HSI to identify EC stages and mark the locations using the CNN of the SSD model. A total of 155 WLI and 153 NBI images from 1,232 endoscopic images were used for dataset training (AI-HSI) in the visible band between 380 and 780 nm. The results showed that the use of HSI increased the accuracy of detecting cancer lesions by 5% in both WLI and NBI images [202]. In another study, Maktabi et al. used ex vivo specimen images from 11 patients in determining the performance of HSI during esophageal resection intra-operative analysis. The dataset was trained and classified in four different methods, namely, SVM, k-nearest neighbors (k-NN), multiple perception classifier (MLP), and random forest (RF). The results showed that the use of HSI in classifying esophagogastric restates had sensitivity of 63% and specificity of 69%, which were excellent [203].

Homann et al. presented the results from an endoscopy of the stomach and esophagus for early cancer detection using Gaussian and linear kernel SVM, RobustBoost (RB), AdaBoost (AB), and RF-walk applied with HSI in the visible band between 400 nm to 650 nm. The result of this study indicated that RB was the best in all five classifiers having sensitivity of 63%, specificity of 65%, and accuracy of 64% [186]. Nakano et al. proposed the use of low-concentration Lugol stain and narrowband illumination, a highly sensitive yet less invasive cancer detection technique in the visible band between 420 nm and 720 nm. The proposed illumination (MBSI) was compared with WLI and NBI in differentiating normal and cancerous elements. The proposed illuminations, namely, MBSI-6 and MBSI-9 with stained Lugol, showed a better performance of greater than 90% [182]. A study conducted by Grigoroiu et al. tested a five-layer CNN system established under a standard Macbeth color-classification method for analyzing endoscopic HSI images in real time in which the data acquired at center wavelengths of 450, 550 and 650 nm. The ex vivo data of biopsies of 12 human esophagi were applied with CNN. The application of CNN to the human biopsies obtained an average consistency of 86.9% in classifying tissue biopsies into four types labeled normal, adenocarcinoma, Barrett's esophagus, and squamous epithelium [204].

A study by Maktabi et al. used the pixel-wise classification method to differentiate HSI images such as EAC, tumor stroma, and squamous epithelium cells gathered from 95 patients with oncologic esophagectomy in the visible band of 500 nm and 750 nm. Logistic regression, MLP, SVM, RF were the learning methods for analysis. The study obtained an average accuracy of 78% and a specificity of 84% for tumor stroma and EAC discrimination; for squamous epithelium cells, the overall average was 81%, and MLP was the best classifier [205]. Wu et al. proposed an early detection method for esophageal cancerous lesion by HSI and endoscopy. This study presented the use of HSI and principal component analysis as an optical detection approach for esophageal cancerous lesions, which can help physicians in the early identification of cancer lesions in the visible band between 380 nm and 780 nm [206]. Collins et al. examined the chance to expand training datasets to overcome the limitation of machine learning that is to use many datasets. A total of 22 images consisting of healthy to cancerous colon and esophagogastric tissues were used in the visible and NIR band between 550 nm and 1000 nm. The results showed that the 3DCNN model provided more accuracy than traditional learning models, and MLP obtained better results than RBF-SVM [207].

Table 4 shows the observed clinical characteristics of the studies involved. Studies involving CAD algorithms for EC detection can be classified based on image analysis [197,203] and patient/specimen analysis [198–202,182]. These studies have different approaches on how to gather the needed images. .The study by Wu et al. showcased both patient/specimen based and image-based analyses [203]. Out of the 2 analyses based on image, 1,452 images comprising 260 normal, 276 low-grade dysplasia, 425 high-grade dysplasia, and 491 ECs were associated. Moreover, 414 patients were involved in all of the studies. Three studies used an Asian dataset representing Asian populations [197,200,203] and 5 out of these 8 studies represented European populations [198,199,201,202,182]. CNN [197,201,203,182] and SVM [198–200,182] were some of the most common CAD algorithms used in the studies, and two studies used MLP [198,202]. Most studies used WLI images as the default endoscopic image to be studied [197,199–202], whereas one study introduced a new type of endoscopic image [200]. The prediction of the severity of EC was observed in five studies [197,200-202,182] where 2 studies used CNN algorithm [197,201], one study had SVM [200], one study had MLP [202], and another study contained CNN, SVM, and MLP algorithms [182]. These studies categorized the severity of EC lesions, whether ESCC or EAC, from normal to severe.

### 3.2. Meta-analysis of the studies

Table 5 shows the meta-analysis and subgroup analysis for EC diagnosis. Among the 8 studies included in this review, the average accuracy, sensitivity, specificity, and AUC were 79.55% (58.2%-89.5%), 71.15% (28%-90%), 79.64% (61%-89%), and 80.21 (70%-91%) respectively. Studies on Asian population showcased much higher accuracy of 84%, sensitivity of 83.22%, and specificity of 86.71% compared with studies on European population with accuracy of 76.7%, sensitivity of 76.7%, and specificity of 74.33%. One of the factors that made Asian studies better was the number of images involved. Tsai et al. used 1,232 WLI and NBI images with 89.5% accuracy, 89.4% sensitivity, and 89.15% specificity. Tsai et al.'s dataset was comparatively larger than that of the European study by Maktabi et al. with only 95 specimens and 85% accuracy, 73.7% sensitivity, and 77.3% specificity, which underperformed compared with the study by Tsai et al. This finding supported the information that a larger dataset for training in CAD algorithms yielded a better diagnostic performance [204].

The employment of deep learning for image recognition in the medicine field has been a trend in the recent years [205]. The meta-analysis suggests that CNN was the leading CAD for EC detection and diagnosis supported by accuracy, sensitivity, specificity, and AUC of 88.2%, 83.77%, 90.35%, and 91%, respectively. CNN had the best performance compared with other machine/deep learning such as SVM (68.33% accuracy, 63.85% sensitivity, 90.35% specificity,

Table 4. Clinical Features of the Studies considered in this study including the nationality, method, lighting, accuracy, sensitivity, specificity, the number of images, and AUC of the studies.

Author Year	Nationality	Method	Lighting	Index Number	#Of images	Accuracy (%)	Sensitivity (%)	Specificity (%)	ROC- AUC	
[197] Tsai et al. / Retrospective (ASIAN)		CNN	WLI	1	620	88	87	87.3		
									NA	
2021	(1511111)		NBI	2	612	91	91.8	91		
		k-NN		3			27.5	70.5		
[198]	Retrospective	RF	NA	4	NA	NA	18.5	81	NA	
Maktabi et al. / 2019	(EURO- PEAN)	SVM		5	1471		44.8	62		
,,	ŕ	MLP		6			22	75		
[199] M. Hohmann	Prospective	Random Forest Walk		7		55	56	62	70	
et al. / 2017	(EURO- PEAN)	Robust Boost	WLI	8	4-6 biopsies	64	63	65	70	
		SVM Linear Kernel		9		61	57	63		
		SVM Gaussian Kernel		10		56	66	52		
		AdaBoost		11	-	55	56	63		
			NBI	12		75	84.22	71.6		
[200] K.	Prospective (ASIAN)	Prospective SVM	SVM	WLI	13	4 resected	74.3	83.7	70.3	NA
Nakano <i>et</i> al. / 2020		3 V IVI	MBSI-6 (proposed)	14	specimens	80.4	86.4	79.8	INA	
			MBSI-9 (proposed)	15		82.9	86.7	82.2		
[201] A. Grigoroiu et al. / 2020	Retrospective (EURO- PEAN)	CNN	WLI	16	12 biopsies	86.9	86.9	86.9	NA	
[202] M. Maktabi <i>et</i> <i>al.</i> / 2022	Retrospective (EURO- PEAN)	MLP	WLI	17	95 specimens	85	73.7	77.3	87.3	
[203] I. C. Wu et al. / 2018	Retrospective (ASIAN)	CNN	NBI	18	220	NA	74.75	95	NA	
[182] T.	Prospective	SVM		19	12				79	
Collins et	(EURO-	MLP	NA	20	Esophageal	NA	NA	NA	80	
al. / 2021	PEAN)	3DCNN		21	datasets				91	

and 74.5% AUC) and MLP (68.33% accuracy, 47.85% sensitivity, 76.15% specificity, and 83.65% AUC). Although CNN and SVM were AIs that are both used in EC image recognition, they somehow exhibited different expertise. On the one hand, CNN recognized overlooked biological features and predicted the risk of someone for certain diseases [206]. On the other hand, SVM can inhibit data noise due to its sparse property [207]. Nevertheless, CNN was more effective in EC detection based on the data obtained by this meta-analysis.

Studies that used NBI endoscopic images presented the best results (83% accuracy, 83.59% sensitivity, and 85.87% specificity) compared with studies that used WLI endoscopic images (78.48% accuracy, 78.28% sensitivity, and 76.56% specificity), proving that NBI is more sensitive than WLI, as also observed by Tsai et al. One of the benefits of NBI is preventing small cancerous lesions from being missed during detection [208]. NBI performs better than WLI in cancer detection because it uses narrowband width filters with center wavelengths between 540 nm and

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Table 5. Sub-Group and Meta-Analysis of Diagnostic Test Accuracy which includes the classification of data based on Nationality, Machine learning model, Endoscopic Images, Esophageal cancer type and publishing date

Subgroup	Number of Studies	Accuracy (%)	Sensitivity (%)	Specificity (%)	AUC			
Average meta-analysis of all studies	8	79.55	71.15	79.64	0.8021			
	1	Nationality of Da	ta					
Asian	3	84	83.22	86.71	NA			
European	5	76.7	62.1	74.33	0.8021			
	Ma	chine/Deep Lear	ning					
CNN	4	88.2	85.24	90.05	0.91			
SVM	4	68.33	72.69	68.7	0.745			
MLP	3	85	47.85	76.15	0.8365			
k-NN	1	NA	27.5	70.5	NA			
RF	2	55	37.25	71.5	0.70			
Boost	1	59.5	59.5	64	0.70			
		Endoscopic Imag	ge					
NBI	3	83	83.59	85.87	NA			
WLI	5	78.48	78.28	76.56	0.7865			
Proposed by the Study	1	81.65	86.55	81	NA			
Esophageal Cancer Type								
EAC	3	76.7	73.4	75.07	0.7865			
ESCC —		_		_	_			
Both EAC and ESCC	1	NA	28.2	72.13	NA			
Publishing Date								
Below 2018	1	58.2	59.6	61	0.70			
2018-2020	4	82.53	51.39	78.05	NA			
2021-2022	3	87.25	81.68	83.23	84.33			

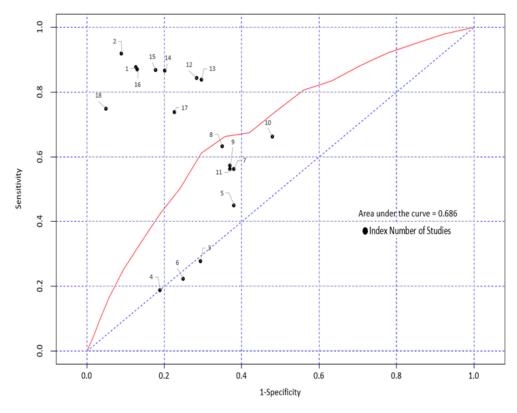
415 nm [209]. Furthermore, the 415 nm light can be fully absorbed by hemoglobin, which enables distinctly recognizing the microvascular structure of an organ [210]. Another type of image was proposed from the study of Nakano et al., specifically, the multiband switching illumination (MBSI). MBSI was designed using multiple three-band illuminations inside the endoscope. The three-band illumination (3- BI) was composed of RGB LEDs combined with the characteristics of a narrowband light emission. MBSI showed engaging results (81.65% accuracy, 86.55% sensitivity, and 81% specificity) [200].

EAC and ESCC are the two histologically most prevalent kinds of EC [211]. EAC and ESCC share some common risk factors such as smoking, alcohol consumption, and increased prevalence in men and in older patients [212]. Despite the similarities of the risk factors of these EC types, some risks can only be observed on one type. For example, Barrett's esophagus is the main risk factor of EAC, whereas Achalasia is the main risk factor of ESCC [213]. The endoscopic images of EAC are more commonly used by the studies involved in this review (76.7% accuracy, 73.4% sensitivity, 75.07% specificity, and 78.65% AUC) considering that most studies used European data, where EAC is more prominent than ESCC [214]. However, insufficient data were reflected for ESCC because most studies did not specify the type of EC used, whereas Maktabi et al. used both ESCC and EAC data with results of 28.2% sensitivity and 72.13% specificity.

Furthermore, an increasing trend was observed in accuracy, sensitivity, specificity, and SROC when studies involved were observed based on their year of publication. An example is a study before 2018 with 58.2% accuracy, 59.6% sensitivity, 61% specificity, and 70% AUC to 87.25% accuracy, 81.68% sensitivity, 83.23% specificity, and 84.33% AUC. This result was the aftermath of the researchers' increasing attention to HSI [215]. Hence, progressive studies conducted for HSI technology can be advantageous for improving its overall performance in cancer recognition and diagnosis [216].

### 3.3. SROC curve and subgroup meta-analysis

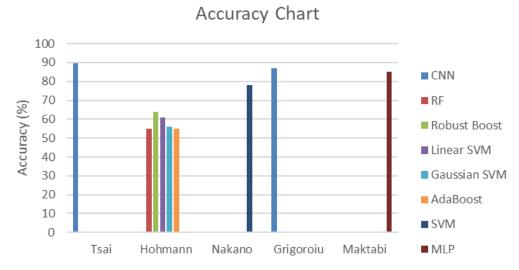
SROC was created for EC detection with endoscopic image. The average sensitivities and specificities of the studies were plotted to visualize and observe the performances better [217]. Studies with CAD methods that achieved a better performance were plotted in the upper-leftmost part of the graph, approaching 100% on both sensitivity and specificity. The study by Tsai et al. using CNN as CAD method obtained the highest sensitivity of 91.8%. However, the methods near the origin were considered to have low performance. The study by Maktabi et al. using RF as CAD method generated the lowest sensitivity of 22%. Figure 1 shows the SROC based on the sensitivity and specificity of the CAD methods. The SROC obtained 0.13 as the p value, inferring that heterogeneity was not present among the studies involved because the obtained results were statistically insignificant.



**Fig. 1.** SROC curve of the studies. Different number represents the index number of the study. AUC = 0.686. SROC curve based on Sensitivity and Specificity of CAD Methods. The number points to the study index of the study. (For study index refer to Table 5).

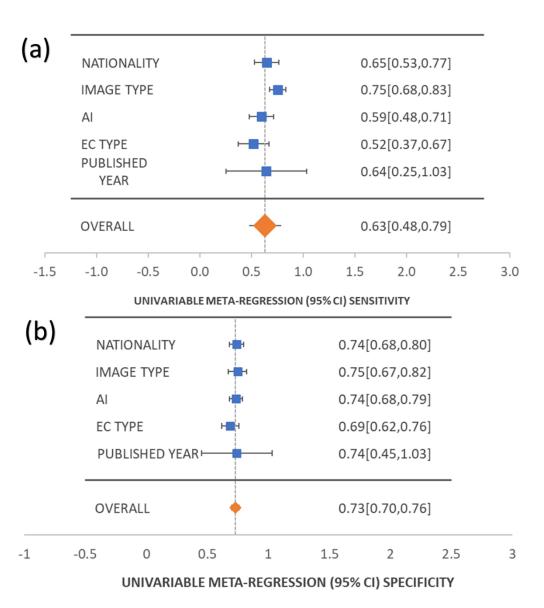
Additionally, an accuracy graph was generated to visually compare the CAD methods used in the studies based on their accuracy in EC detection. Figure 2 shows the overall accuracy of

different CAD methods used in the studies. The most commonly used method was CNN with several types of SVM. The use of CNN in EC detection was the most dominant among the CAD methods used in different studies. Consequentially, the highest accuracy of 89.5% was obtained through the use of CNN in the investigation by Tsai et al. By contrast, Hohmann et al. achieved the lowest accuracy of 55% in EC detection using AB and RF as the CAD methods.



**Fig. 2.** Overall Accuracy Performance of CAD Methods. Different colors represent the methods used in the study

Furthermore, forest plots for the sensitivity and specificity of each CAD method and for each study involved were generated under 95% level of confidence. In the CAD methods classification, the CNN provided the best performance of 85% sensitivity and obtained the largest weight overall. Meanwhile, under the study classification, the study by Tsai et al. also gathered the best performance of 90% sensitivity. In contrast, studies under Maktabi et al. (2022) and Wu et al., and CAD methods like Robust Boost and Ada Boost, provided limited data thus, unable to determine their upper and lower limits. Furthermore, a meta-regression analysis was made to be able to compare sensitivities and specificities of each data in accordance with the nationality, image type, AI, esophageal cancer type, and date published. Figure 3 shows the univariable meta-regression of sensitivity and specificity under 95% level of confidence. The univariable meta-regression provided quality analysis in terms of the sensitivities in different classification having short limits in exception with the published year. Finally, Deeks' funnel plot was produced based on different classifications such as CAD method, Nationality, Image Type, and also in all classifications combined. The Deeks' funnel plot contains diagnostic odds ratio of each classification and the fraction of the root of each sample size [218,219]. The Deeks' funnel plot obtained from this study provided no indication of heterogeneity [Study (p = 0.20), Image Type (p = 0.78), CAD Method (p = 0.11), All Classification (p = 0.43)]. Figure 4 shows the funnel plot of the studies involved. It was found that these studies have correlation with each other having the regression line of 36.69.



**Fig. 3.** Univariable Meta-Regression of Different Sub-Group Analysis including Nationality, Image Type, AI, EC Type and Published Year (a) Sensitivity (b) Specificity

### 4. Discussion

Studies conducted on the detection and diagnosis of EC using HSI technology have yielded a diagnostic accuracy that is deemed to be "very good" according to Youden's index, as determined by the area under the curve (AUC) [220]. The aforementioned discovery implies that the CAD algorithm is appropriate for clinical diagnosis, particularly in the diagnosis of EC in compliance with the DTA standard [221]. Several recent studies have been published on the use of CAD algorithms for cancer detection, demonstrating their excellent performance as computer-based aids in diagnosing and identifying EC.

While HSI technology shows promise in the detection of esophageal cancer, the current clinical guidelines for managing and diagnosing this condition continue to heavily depend on

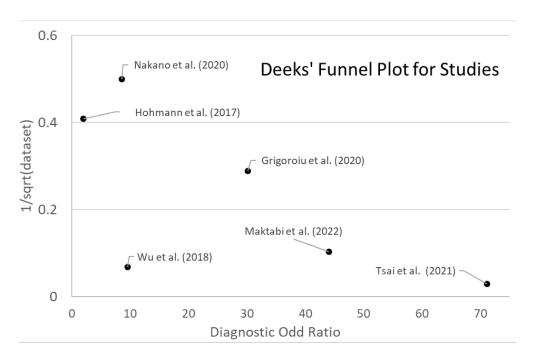


Fig. 4. Deeks' Funnel Plot for Studies of the studies used in the meta-analysis.

the judgment and perspective of the endoscopist [222]. The integration of AI in intra-operative analysis has resulted in a gradual adoption of EC detection, with a relatively slow transition observed among endoscopists. It is anticipated that the utilization of HSI technology in EC detection will gain greater acceptance in the forthcoming period. Despite the endoscopists' response to this technology, it appears that CAD algorithms offer advantageous diagnostic capabilities, regardless of the endoscopists' level of fatigue. Therefore, it is highly probable that there will be an increase in the identification of lesions. This study demonstrated the efficacy of HSI technology in detecting cancer at an early stage. The challenge of identifying EC during its initial phases was established in a previous study [217]. Numerous studies examined in this review concentrated on detecting early-stage EC and yielded noteworthy outcomes, indicating that the possibility of early diagnosis of EC would furnish insights for prompt treatment and betterment of patient prognosis.

The American Society for Gastrointestinal Endoscopy has implemented the Preservation and Incorporation of Valuable Endoscopic Innovation (PIVI) to establish a mandatory performance threshold of 90% sensitivity and 80% specificity per patient for image-enhanced endoscopy in the detection of high-grade dysplasia and EAC [223]. The studies under consideration met the PIVI criteria in terms of their overall specificity. However, the overall sensitivity of the studies did not meet the aforementioned criteria. The sensitivity exhibited a wide range of values, spanning from 28% to 90%, owing to the disparate outcomes reported by various studies. While some studies reported suboptimal outcomes, others demonstrated exceptional performance. Furthermore, the efficacy of the CAD algorithm may vary depending on the specific characteristics of the endoscopic images employed. The performance of CAD utilizing NBI images was superior to that of CAD utilizing WLI images. The diagnostic efficacy was found to be analogous across the various CAD models employed, namely CNN, SVM, and Multilayer Perceptron (MLP).

Despite the robust diagnostic performance, various limitations were identified in the studies. One limitation of the studies is the restricted sample size of patients. This review involved

a sample of 414 patients, which is notably smaller in comparison to the study conducted by Bang et al. that included a sample size of up to 2,102 patients [224]. A further constraint pertains to the inadequate involvement of patients diagnosed with ESCC. The restricted number of participants in the aforementioned studies may have undermined the validity of the findings, thus it is advisable to include a larger sample size for future investigations. The second issue pertains to the restricted size of the training dataset. A limited quantity of training data can impede the learning process of artificial intelligence, thereby affecting its diagnostic efficacy. Maktabi et al. employed a training dataset comprising 94 out of 95 total specimens, which was relatively limited in size compared to the 39,662 out of 67,740 training datasets utilized by Garcia et al. in their investigation of diagnostic accuracy [225]. Moreover, none of the aforementioned studies included a forecast of the invasion depth of EC. However, Tokai et al. conducted a study that demonstrated the diagnostic potential of CNN in accurately and sensitively measuring the depth of invasion in ESCC. The study reported an accuracy and sensitivity of 80.9% and 84.1%, respectively [226]. It would have been advantageous to conduct an observation and analysis of the depth of EC invasion, in order to expand the scope of CAD beyond merely automated EC detection. Future research endeavors may involve the exploration of HSI technology that incorporates a greater number of CAD algorithms, with a heightened emphasis on the invasion depth of EC. The efficacy of CAD algorithms is contingent upon either the extent of training data or the fidelity of the dataset representation to the primary distribution. Furthermore, the studies included in this review did not furnish a comparison between the diagnosis of CAD and conventional endoscopists.

### 5. Conclusion

Recent advances in HSI for EC detection has an optimistic performance. With further research, the dilemma of early EC detection will slowly be overcome to facilitate the decrease in EC mortality. Evidently, as the years of study about HSI in EC go by, the performance each study achieves improves. Using appropriate CAD algorithms can excellently support diagnostic accuracy for EC. In this study, certain CAD methods were suited perfectly to the use of HSI in EC detection. The effectiveness of HSI in EC detection was also dependent on the type of image (NBI, WLI) used in the diagnosis However, certain aspects of experimentation and studies should be considered such as recognizing the limitations of the involved studies encountered, for example, the insufficiency of patient participation and the limited endoscopic images and training dataset involved during diagnostics, to improve the purpose HSI technology in EC diagnosis.

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Data availability. The data presented in this study are available in this article.

Supplemental document. See Supplement 1 for supporting content.

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